

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112703-2

MAR 13 2002

1614
TECH CENTER 1600/2800
RECEIVED

In Re Application Of:

Barabolak et al.

Serial No.

10/035,320

Filing Date

November 8, 2001

Examiner

Unknown

Group/Art Unit

Unknown

Title:

ANTI-PLAQUE EMULSIONS AND PRODUCTS CONTAINING SAME

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112703-211

In Re Application:

Barabolak et al.

Serial No.

10/035,320

Filing Date

November 8, 2001

Examiner

Unknown

Group Art Unit

Unknown

ANTI-PLAQUE EMULSIONS AND PRODUCTS CONTAINING SAME

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: February 7, 2002

Robert M. Barrett (30,142)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

MAR 13 2002

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Applicant: Barabolak et al.
Appl. No.: 10/035,320
Filed: November 8, 2001
Title: ANTI-PLAQUE EMULSIONS AND PRODUCTS CONTAINING SAME
Art Unit: Unknown
Examiner: Unknown
Docket No.: 112703-211

Commissioner for Patents
Washington, DC 20231

#2
HKO
3-29-02

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/453,383, filed on December 2, 1999, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,108,763	4/28/92	Chau et al.
5,236,699	8/17/93	Libin
5,248,508	9/28/93	Reed et al.
5,270,061	12/14/93	Reed et al.
5,286,496	2/15/94	Stapler et al.
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5,370,864	12/6/94	Peterson et al.
5,376,389	12/27/94	Reed et al.
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5,855,872	1/5/99	Libin
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5,980,868	11/9/99	Homola et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 696 449 A2	14.2.1996	Europe

OTHER DOCUMENTS

Abstract Search from the United States Patent and Trademark Office dated November 27, 1999;
pages 1-54.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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